

## FY22 Review Process General Framework- Contract Compliance

<b>Review Cycle</b>	12-18-month review cycles will continue, with the threshold set at 85% for each service area and/or the administrative indicators. <ul style="list-style-type: none"> <li>12-month reviews may occur with 10-14 months of the prior review date.</li> <li>18-month reviews may occur within 15 to 20 months of prior review date.</li> </ul>
<b>Length of Review</b>	Where possible, Alliant will adjust the number of staff dedicated to larger providers to reduce the time of engagement. Ideally, reviews will not last longer than 4 weeks. The need to balance reviews is understood, as provider reconciliation may be more intense with more review staff involved.
<b>Reconciliation</b>	End of day reconciliation opportunities will continue. Virtual Entrance and Exit Conferences will be coordinated by the Review Lead.
<b>On-site or desk reviews</b>	As with Pre-COVID reviews, providers with a sample size of 15 participant files, or less, will have a desk review. Providers with a sample size of 16 or more participant files will have the choice of a desk review or an on-site review.
<b>Timeframes for notice and start of reviews</b>	Provider reviews will begin on the date of notification, with review of records electronically available. A 48-hour notice will be provided for the review of Administrative Indicators and Provider Qualifications and Training Records. The sample size for provider staff records to be reviewed will vary among providers, depending on the number of new staff hired within each service area.
<b>Plans of Correction</b>	Plans of Correction will be required for all citations, using the format in Med-Guard.
<b>Follow-up Reviews</b>	Follow-up reviews will be completed as desk reviews for all previously cited indicators. 2 <sup>nd</sup> follow-up reviews will be required when compliance remains under 85%.
<b>Recoupable indicators</b>	There are no indicators tagged as “recoupable” for FY22.

## FY22 Review Process General Framework- Licensing

<b>On-site Reviews</b>	All FY22 licensing reviews will be on-site. Self-assessments will be discontinued.
<b>Timeframes for notice and start of reviews</b>	<p>FY22 Reviews will return to the same-day notice that was in place prior to the COVID Pandemic. Providers will receive same day notification via phone of their upcoming review. Providers are expected to have a staff available at the home that day. (This does not have to be Coordinator/Director level staff.)</p> <p>Reviews will be conducted annually for SLP II, CTH I, CTH II, and Day Service Locations. SLP II settings will be inspected in segments. Smaller SLP II settings may have all units inspected within one review period. Providers with more than 10 units will have at least two review periods for SLP II Inspections.</p>
<b>Plans of Correction</b>	Plans of Correction are required for all citations. Providers should ensure the POC addresses the cause of the citation and includes the provider’s strategy to prevent similar citations in the future.
<b>Follow-up Reviews</b>	Return to pre-COVID process for follow-up reviews. Follow-up reviews will be desk reviews. On-site 2 <sup>nd</sup> follow-up reviews will be required when compliance remains under 85%.

## FY22 Review Process General Framework- Participant Surveys and Observation

<b>Day Services Survey &amp; Observation</b>	Return to pre-COVID process. Unannounced visits to 100% of a provider’s day service locations.
<b>Residential Habilitation Survey &amp; Observation</b>	Return to pre-COVID process. Unannounced visits to 25% of a provider’s Residential Habilitation service locations. (This may include SLP I, SLP II, CTH I, CTH II, CIRS, and CRCF locations.)